

SELECTED FCM FINANCIAL DATA AS OF
February 28, 2017
FROM REPORTS FILED BY
March 26, 2017

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U
		Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
			(a)	(b)		(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	
1																					
2																					
3																					
4	1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	2/28/2017	603,866,237	188,919,870	414,946,367	3,299,153,251	2,956,501,038	342,652,213	174,252,674	130,682,667	107,051,878	23,630,789	10,705,188	0	0	0	0	0
5	2	ADM INVESTOR SERVICES INC	FCM	CBOT	2/28/2017	308,839,050	204,221,778	104,617,272	4,730,905,140	4,491,367,548	239,537,592	120,000,000	408,622,782	349,918,101	58,704,681	20,000,000	11,179,699	1,366,748	9,812,951	8,000,000	0
6	3	ADVANTAGE FUTURES LLC	FCM	CME	2/28/2017	24,393,730	16,457,545	7,936,185	604,171,948	589,578,918	14,593,030	9,000,000	43,081,088	41,281,264	1,799,824	1,000,000	0	0	0	0	0
7	4	AMP GLOBAL CLEARING LLC	FCM	NFA	2/28/2017	2,685,837	1,500,000	1,185,837	54,818,269	51,935,206	2,883,063	900,000	1,908,137	1,554,184	353,953	150,000	0	0	0	0	0
8	5	APERTURE LLC	FCM	NFA	2/28/2017	25,472,837	1,000,000	24,472,837	7,721,440	5,657,676	2,063,764	300,000	0	0	0	0	0	0	0	0	0
9	6	BARCLAYS CAPITAL INC	FCM BD	NYME	2/28/2017	7,524,033,808	1,237,421,232	6,286,612,576	6,449,954,650	5,867,291,269	582,663,381	322,701,020	3,862,424,958	3,431,224,536	431,200,422	172,247,472	5,805,068,763	4,982,253,263	822,815,500	197,795,455	0
10	7	BGC FINANCIAL LP	FCM BD	NFA	2/28/2017	46,327,676	3,145,087	43,182,589	0	0	0	0	0	0	0	0	0	0	0	0	0
11	8	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	2/28/2017	1,508,874,285	227,713,826	1,281,160,459	2,798,256,467	2,610,083,638	188,172,829	150,000,000	33,594,344	24,065,610	9,528,734	7,500,000	35,831,540	16,704,967	19,126,573	15,000,000	0
12	9	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	2/28/2017	1,614,794,967	278,426,628	1,336,368,339	1,276,563,388	1,172,711,969	103,851,419	82,500,000	102,913,128	74,075,466	28,837,662	22,500,000	781,483,458	647,345,225	134,138,233	97,500,000	0
13	10	BOCI COMMODITIES & FUTURES USA LLC	FCM	CME	2/28/2017	8,232,527	1,000,000	7,232,527	16,704,257	15,087,632	1,616,625	1,000,000	6	0	6	1	6	0	6	1	0
14	11	CANTOR FITZGERALD & CO	FCM BD	CBOT	2/28/2017	257,680,354	10,133,358	247,546,996	4,969,921	4,969,921	3,000,000	0	0	0	0	0	0	0	0	0	0
15	12	CHS HEDGING LLC	FCM	CBOT	2/28/2017	39,293,801	9,244,609	30,049,192	190,078,270	118,977,884	71,100,386	13,100,000	1,669,715	143,140	1,526,575	545,000	503,912	0	503,912	310,000	0
16	13	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	2/28/2017	10,763,338,868	1,995,606,328	8,767,732,540	9,622,044,534	9,166,633,723	455,410,761	335,300,000	1,668,102,049	1,424,533,569	243,568,480	150,000,000	16,132,567,873	15,734,689,154	397,878,719	301,675,000	0
17	14	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	2/28/2017	9,749,751,084	1,556,538,162	8,193,212,922	6,853,816,520	6,455,840,711	397,975,809	322,792,036	3,256,422,953	3,013,831,345	242,591,608	150,691,567	12,876,193,435	12,164,507,998	711,685,437	608,225,400	0
18	15	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	2/28/2017	5,192,006	1,315,127	3,876,879	64,235,935	60,445,157	3,790,778	3,050,000	176,142	15,528	160,614	50,000	0	0	0	0	0
19	16	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	2/28/2017	627,851,575	7,779,128	620,072,447	2,167,160	0	2,167,160	1	546,866	0	546,866	1	0	0	0	0	0
20	17	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	2/28/2017	12,197,773,862	575,603,409	11,622,170,453	3,471,723,036	3,271,594,357	200,128,679	125,000,000	782,573,826	657,149,665	125,424,161	75,000,000	610,797,822	410,656,211	200,141,611	100,000,000	0
21	18	DORMAN TRADING LLC	FCM	CME	2/28/2017	11,240,670	2,563,981	8,676,689	189,544,762	185,598,089	3,946,673	2,000,000	4,245,296	3,736,877	508,419	200,000	0	0	0	0	0
22	19	E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	2/28/2017	126,354,546	58,021,490	68,333,056	1,564,517,225	1,505,219,226	59,297,999	35,000,000	57,655,853	50,176,089	7,479,764	5,000,000	2,903,865	1,766,015	1,137,850	500,000	0
23	20	E TRADE SECURITIES LLC	FCM BD	NFA	2/28/2017	964,569,291	167,099,054	797,470,237	74,107,629	56,147,313	17,960,316	10,000,000	1,351,629	242,415	1,109,214	1,000,000	0	0	0	0	0
24	21	EFL FUTURES LIMITED	FCM	CME	2/28/2017	38,704,437	17,534,843	21,169,594	233,471,170	220,055,290	13,415,880	5,000,000	0	0	0	0	0	0	0	0	0
25	22	GAIN CAPITAL GROUP LLC	FCM/RFD	NFA	2/28/2017	50,712,422	34,217,253	16,495,169	274,206,673	257,187,141	17,019,532	10,000,000	4,726,989	3,165,689	1,561,300	1,000,000	0	0	0	271,410,137	
26	23	GH FINANCIALS LLC	FCM	CME	2/28/2017	21,781,540	6,444,783	15,336,757	109,392,465	98,347,453	11,045,012	6,000,000	2,777,897	1,075,314	1,075,314	550,000	0	0	0	0	0
27	24	GOLDMAN SACHS & CO	FCM BD SD	CBOT/NFA	2/28/2017	17,675,130,660	2,619,256,227	15,055,874,433	24,851,690,337	23,778,718,615	1,072,971,722	875,000,000	12,017,224,936	11,415,310,446	601,914,490	475,000,000	4,822,940,128	4,326,863,489	496,076,639	350,000,000	0
28	25	HSBC SECURITIES USA INC	FCM BD	CME	2/28/2017	1,052,652,286	235,682,333	816,969,953	1,235,106,200	1,155,666,012	79,440,188	70,000,000	211,629,521	171,724,089	39,905,432	25,000,000	1,212,743,477	1,097,814,495	114,928,982	100,000,000	0
29	26	INTERACTIVE BROKERS LLC	FCM BD	CME	2/28/2017	3,300,868,560	301,541,550	2,999,327,010	3,402,940,893	3,164,219,049	238,721,844	155,000,000	480,948,931	358,824,650	122,124,281	80,000,000	0	0	0	33,633,836	
30	27	INTL FCSTONE FINANCIAL INC	FCM BD	CME	2/28/2017	130,593,894	83,874,207	46,719,687	2,101,090,583	2,048,479,575	52,611,008	35,000,000	134,558,917	118,764,128	15,794,789	8,000,000	0	0	0	0	0
31	28	IRONBEAM INC	FCM	NFA	2/28/2017	3,548,511	1,541,033	2,007,478	71,586,745	70,236,887	1,349,858	800,000	713,303	515,897	197,406	50,000	0	0	0	0	0
32	29	JEFFERIES LLC	FCM BD	NFA	2/28/2017	1,359,023,177	81,622,202	1,277,400,975	0	0	0	0	0	0	0	0	0	0	0	0	0
33	30	JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	2/28/2017	14,181,893,879	2,939,001,190	11,242,892,689	20,029,601,153	18,392,770,263	1,636,830,890	1,011,602,364	5,087,879,664	4,589,569,604	498,310,060	390,113,416	11,274,084,550	10,326,841,665	947,242,885	645,427,604	0
34	31	MACQUARIE FUTURES USA LLC	FCM	CBOT	2/28/2017	263,655,133	152,578,530	111,076,603	2,056,526,375	1,850,309,157	206,217,218	100,000,000	38,307,373	19,235,241	17,027,132	7,000,000	28,388,188	9,714,704	18,673,484	1,000,000	0
35	32	MAREX NORTH AMERICA LLC	FCM	CME	2/28/2017	33,526,912	21,553,841	11,973,071	277,299,023	271,434,315	5,864,708	5,200,000	53,545,034	52,191,456	1,353,578	1,000,000	0	0	0	0	0
36	33	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	2/28/2017	13,882,569	1,203,690	12,678,879	759,298,319	738,213,737	21,084,582	18,000,000	2,417,861	0	2,417,861	1,000,000	0	0	0	0	0
37	34	MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM BD	CBOT	2/28/2017	11,293,231,858	1,799,115,147	9,494,116,711	14,912,914,123	14,622,502,843	290,411,280	200,000,000	4,168,572,670	3,868,235,215	300,337,455	150,000,000	5,560,667,426	5,027,792,771	532,874,655	150,000,000	0
38	35	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	2/28/2017	3,684,957,800	513,973,886	3,170,983,914	1,873,842,237	1,072,575,466	801,266,771	300,000,000	0	0	0	0	0	0	0	0	0
39	36	MID CO COMMODITIES INC	FCM	NFA	2/28/2017	8,378,395	3,824,666	4,553,729	34,500,677	25,364,363	9,136,314	5,138,000	0	0	0	0	0	0	0	0	0
40	37	MINT BROKERS	FCM BD	NFA	2/28/2017	5,068,106	1,000,000	4,068,106	0	0	0	0	0	0	0	0	0	0	0	0	0
41	38	MIZUHO SECURITIES USA INC	FCM BD SD	CME/NFA	2/28/2017	570,811,745	244,918,106	325,893,639	2,838,496,898	2,637,629,531	200,867,367	125,000,000	1,231,263,849	1,104,891,410	126,372,439	70,000,000	33,332,798	3,305,629	30,027,169	15,000,000	0
42	39	MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	2/28/2017	9,967,793,181	2,210,075,685	7,757,717,496	16,077,344,961	15,804,681,380	272,663,581	210,000,000	4,862,850,681	4,655,848,267	207,002,414	160,000,000	12,549,585,117	12,432,815,083	116,770,034	92,000,000	0
43	40	MUFG SECURITIES AMERICAS INC	FCM BD	NFA	2/28/2017	401,609,047	1,024,555	400,584,492	0	0	0	0	0	0	0	0	0	0	0	0	0
44	41	NANHUA USA LLC	FCM	CME	2/28/2017	19,091,243	4,587,029	14,504,214	102,767,409	90,173,182	12,594,227	3,500,000	0	0	0	0	0	0	0	0	0
45	42	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	2/28/2017	1,933,796,245	78,001,978	1,855,794,267	293,391,745	191,506,062	101,885,683	15,000,000	17,185,666	87,987	17,097,679	1,000,000	0	0	0	0	0
46	43	OANDA CORPORATION	FCM/RFD	NFA	2/28/2017	69,862,480	50,707,368	19,155,112	0	0	0	0	0	0	0	0	0	0	0	147,849,819	
47	44	OPTIONSPRESS INC	FCM BD	NFA	2/28/2017	277,480,967	7,182,881	270,298,086	138,956,707	94,244,968	44,711,739	14,136,745	2,622,163	1,138,316	1,483,847	170,747	0	0	0	0	0
48	45	PHILLIP CAPITAL INC	FCM BD	C																	

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