

SELECTED FCM FINANCIAL DATA AS OF July 31, 2022 FROM REPORTS FILED BY August 23, 2022																				
	Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)
1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	07/31/2022	635,918,315	243,741,525	392,176,790	4,777,990,823	3,877,529,007	900,461,816	286,925,613	157,920,119	131,823,448	26,096,671	13,182,345	0	0	0	0	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	07/31/2022	506,114,881	390,886,315	115,228,566	8,920,865,725	8,719,097,780	201,767,945	120,000,000	608,704,874	561,834,400	46,870,474	20,000,000	9,844,359	0	9,844,359	8,000,000	0
3	ADVANTAGE FUTURES LLC	FCM	CME	07/31/2022	27,626,547	11,506,894	16,119,653	534,650,391	517,812,158	16,838,233	10,000,000	41,087,565	36,910,725	4,176,840	1,000,000	0	0	0	0	0
4	AMP GLOBAL CLEARING LLC	FCM	NFA	07/31/2022	8,017,733	1,000,000	7,017,733	73,781,153	65,457,709	8,323,444	900,000	2,700,180	2,143,380	556,800	150,000	0	0	0	0	0
5	APEX CLEARING CORPORATION	FCM BD	NFA	07/31/2022	462,369,497	33,350,967	429,018,530	90,018,854	63,210,622	26,808,232	10,000,000	0	0	0	0	0	0	0	0	0
6	BARCLAYS CAPITAL INC	FCM BD	NYME	07/31/2022	6,635,944,627	2,133,999,567	4,501,945,060	12,798,136,242	12,301,387,242	496,749,000	48,000,000	4,371,705,554	4,145,929,287	225,776,267	25,000,000	13,038,165,165	12,334,355,955	703,809,210	25,000,000	0
7	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	07/31/2022	1,994,215,111	1,128,359,825	865,855,286	8,147,357,229	7,436,115,826	711,241,403	570,000,000	2,916,171,563	1,861,981,868	1,054,189,695	98,000,000	3,229,484,387	2,851,104,538	378,379,849	120,000,000	0
8	BOFA SECURITIES INC	FCM BD	CBOT	07/31/2022	17,258,972,387	4,278,746,932	12,980,225,455	26,410,025,712	25,197,185,271	1,212,840,441	200,000,000	5,890,212,461	5,631,946,990	258,265,471	150,000,000	17,206,959,268	16,872,698,860	334,260,408	150,000,000	0
9	CANTOR FITZGERALD & CO	FCM BD	CBOT	07/31/2022	330,507,372	2,870,092	327,637,280	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0	0	0	0
10	CHARLES SCHWAB FUTURES & FOREX LLC	FCM	NFA	07/31/2022	245,718,936	40,314,313	205,404,623	699,220,830	535,468,077	163,752,753	100,000,000	0	0	0	0	0	0	0	73,905,570	0
11	CHS HEDGING LLC	FCM	CBOT	07/31/2022	58,183,778	28,838,273	29,345,505	303,015,621	242,474,356	60,541,265	14,600,000	1,527,272	0	1,527,272	200,000	510,188	0	510,188	120,000	0
12	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	07/31/2022	10,122,198,326	4,851,048,256	5,271,150,070	20,131,049,006	19,563,154,479	567,894,527	419,300,000	5,835,890,206	5,522,389,044	313,501,162	178,500,000	33,980,361,198	33,287,014,043	693,347,155	476,700,000	0
13	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	07/31/2022	10,862,347,988	99,718,540	10,762,629,448	321,979,240	284,767,953	37,211,287	14,238,398	86,337,914	1,852,401	84,485,513	92,620	260,495,973	25,465,870	235,030,103	1,273,293	0
14	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	07/31/2022	87,171,426	1,350,487	85,820,939	122,325,223	69,571,810	52,753,413	3,050,000	1,415,181	909,936	505,245	100,000	0	0	0	0	0
15	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	07/31/2022	1,324,839,378	3,518,919	1,321,320,459	2,141,182	0	2,141,182	1	425,167	0	425,167	1	0	0	0	0	0
16	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	07/31/2022	6,208,530,237	202,081,808	6,006,448,429	2,199,031,668	1,999,246,442	199,785,226	125,000,000	1,031,283,029	906,101,129	125,181,900	75,000,000	0	0	0	0	0
17	DIRECT ACCESS USA LLC	FCM	CME	07/31/2022	11,251,292	2,597,958	8,653,334	107,119,593	96,530,263	10,589,330	2,500,000	2,577,880	53,206	2,524,674	1,000,000	0	0	0	0	0
18	DORMAN TRADING LLC	FCM	CME	07/31/2022	14,790,062	3,741,438	11,048,624	256,116,316	251,919,445	4,196,871	2,000,000	6,150,220	5,511,997	638,223	200,000	0	0	0	0	0
19	E D & F MAN CAPITAL MARKETS INC	FCM BD SD	CME/NFA	07/31/2022	260,242,953	205,369,894	54,873,059	4,045,647,623	3,964,370,704	81,276,919	44,250,000	60,700,231	47,892,609	12,807,622	5,000,000	0	0	0	0	0
20	ETRADE FUTURES LLC	FCM	NFA	07/31/2022	44,786,530	7,842,398	36,944,132	166,006,754	122,075,394	43,931,360	11,000,000	0	0	0	0	0	0	0	0	0
21	FUTU FUTURES INC	FCM	NFA	07/31/2022	1,915,787	1,000,000	915,787	0	0	0	0	0	0	0	0	0	0	0	0	0
22	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	07/31/2022	56,953,897	29,141,174	27,812,723	0	0	0	0	0	0	0	0	0	0	0	192,823,472	0
23	GH FINANCIALS LLC	FCM	CME	07/31/2022	28,713,029	12,048,024	16,665,005	141,706,882	122,966,061	18,740,821	5,900,000	8,338,308	6,678,800	1,659,508	370,000	0	0	0	0	0
24	GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	07/31/2022	21,651,785,215	5,023,209,224	16,628,575,991	41,655,023,400	40,567,598,839	1,087,424,562	675,000,000	12,814,176,590	12,240,037,502	574,139,088	475,000,000	18,178,894,645	17,661,238,535	517,656,110	350,000,000	0
25	HIDDEN ROAD PARTNERS CIV US LLC	FCM BD	NFA	07/31/2022	26,766,450	1,000,000	25,766,450	0	0	0	0	0	0	0	0	0	0	0	0	0
26	HSBC SECURITIES USA INC	FCM BD	CME	07/31/2022	1,336,442,407	557,542,664	778,899,743	4,892,964,822	4,726,191,755	166,773,067	156,000,000	241,102,960	199,206,997	41,895,963	15,000,000	2,219,009,354	2,094,131,956	124,877,398	92,000,000	0
27	IG US LLC	RFED	NFA	07/31/2022	33,073,306	22,069,067	11,004,239	0	0	0	0	0	0	0	0	0	0	0	51,377,048	0
28	INTERACTIVE BROKERS LLC	FCM BD	CME	07/31/2022	5,951,062,652	765,976,567	5,185,086,085	7,907,115,373	7,699,660,866	207,454,507	155,000,000	702,178,141	581,201,861	120,976,280	80,000,000	0	0	0	23,604,356	0
29	IRONBEAM INC	FCM	NFA	07/31/2022	8,573,683	1,000,000	7,573,683	77,140,866	8,580,472	7,573,683	1,600,000	385,854	171,397	214,457	60,000	0	0	0	0	0
30	JEFFERIES LLC	FCM BD	NFA	07/31/2022	1,692,128,993	94,743,573	1,597,385,420	0	0	0	0	0	0	0	0	0	0	0	0	0
31	JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	07/31/2022	21,338,897,605	5,847,399,665	15,491,497,940	44,910,354,971	43,472,339,339	1,438,015,632	999,863,805	9,617,636,914	9,103,720,228	513,916,686	227,593,006	20,785,003,016	19,759,586,676	1,025,416,340	533,508,840	0
32	LIME TRADING CORP	FCM BD	NFA	07/31/2022	6,236,297	1,000,000	5,236,297	46,103	0	46,103	20,000	40,000	0	40,000	20,000	0	0	0	0	0
33	MACQUARIE FUTURES USA LLC	FCM	CBOT	07/31/2022	553,253,385	471,764,571	81,488,814	5,578,919,874	5,278,367,477	300,552,397	100,000,000	187,906,744	141,737,491	46,169,253	7,000,000	6,395,760	0	6,395,760	1,000,000	0
34	MAREX NORTH AMERICA LLC	FCM	CME	07/31/2022	257,666,446	212,857,979	44,808,467	4,228,432,495	4,165,114,828	63,317,667	50,230,376	171,572,873	142,388,172	29,184,701	10,250,000	0	0	0	0	0
35	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	07/31/2022	10,989,739	1,334,989	9,654,750	477,654,296	453,164,487	24,489,809	18,000,000	2,567,861	0	2,567,861	1,000,000	0	0	0	0	0
36	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	07/31/2022	8,173,749,530	1,504,165,104	6,669,584,426	3,064,441,466	1,982,371,920	1,082,069,546	300,000,000	0	0	0	0	0	0	0	0	0
37	MID CO COMMODITIES INC	FCM	NFA	07/31/2022	23,763,229	11,791,218	11,972,011	88,840,410	65,770,572	23,069,838	5,138,000	0	0	0	0	0	0	0	0	0
38	MINT BROKERS	FCM BD	NFA	07/31/2022	2,336,363	1,000,000	1,336,363	0	0	0	0	0	0	0	0	0	0	0	0	0
39	MIZUHO SECURITIES USA LLC	FCM BD	CME	07/31/2022	1,394,629,983	908,966,205	485,663,778	14,328,287,223	13,805,888,461	522,398,762	150,000,000	1,536,064,800	1,359,761,605	176,303,195	70,000,000	20,436,110	0	20,436,110	10,000,000	0
40	MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	07/31/2022	16,694,150,330	4,687,394,226	12,006,756,104	29,781,352,926	29,392,800,474	388,552,452	235,000,000	10,027,449,295	9,812,591,183	214,858,112	140,000,000	29,145,498,810	28,761,151,901	384,346,909	92,000,000	0
41	NANHUA USA LLC	FCM	CME	07/31/2022	22,883,498	17,479,616	5,403,882	298,117,281	279,523,522	18,593,759	3,500,000	695,350	338,992	356,358	100,000	0	0	0	0	0
42	NATWEST MARKETS SECURITIES INC	FCM BD	CBOT	07/31/2022	576,820,665	2,468,032	574,352,633	7,500,293	0	7,500,293	5,000,000	0	0	0	0	0	0	0	0	0
43	NINJATRADER CLEARING LLC	FCM	NFA	07/31/2022	13,595,120	1,000,000	12,595,120	242,537,960	230,300,421	12,237,539	4,000,000	5,317,345	4,519,502	797,843	400,000	0	0	0	0	0
44	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	07/31/2022	2,523,027,163	90,342,895	2,432,684,268	340,689,435	223,939,906	116,749,529	16,000,000	12,866,647	0	12,866,647	1,000,000	0	0	0	0	0
45	OANDA CORPORATION	FCM RFD	NFA	07/31/2022	42,641,852	28,300,972	14,340,880	0	0	0	0	0	0	0	0	0	0	0	170,403,756	0
46	PHILLIP CAPITAL INC	FCM BD	CME	07/31/2022	76,377,029	35,655,560	40,721,469	768,391,075	739,051,685	29,339,390	15,000,000	13,216,998	8,517,199	4,699,799	1,000,000	0	0	0	0	0
47	PICTET OVERSEAS INC	FCM BD	NFA	07/31/2022	50,472,127	11,781,546	38,690,581	152,515,007	147,609,311	4,905,696	1,500,000	57,536,001	54,608,418	2,927,583	900,000	0	0	0	0	0
48	RBC CAPITAL MARKETS LLC	FCM BD	CME	07/31/2022	2,551,802,148	584,480,932	1,967,321,216	5,494,801,232	4,943,827,246	550,973,986	320,000,000	475,193								

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(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission. BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer. RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission. FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer. SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.
(c): A firm's net capital requirement is the greater of:
• FCM minimum dollar amount (\$1,000,000); or • risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or • the amount of capital required by a registered futures association (currently NFA is the only such association); or • for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or • minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or • minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.
(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.
(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.
(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.
(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.
(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.
(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.
(l): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.
(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.
(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.
(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.
(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.