

SELECTED FCM FINANCIAL DATA AS OF
February 29, 2024
FROM REPORTS FILED BY
April 1, 2024

	Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)
1	ABN AMRO CLEARING USA LLC	FCM BD	CBOT	02/29/2024	534,522,395	248,543,562	285,978,833	4,666,874,404	4,040,764,439	626,109,965	350,000,000	179,180,869	143,988,208	35,192,661	15,000,000	0	0	0	0	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	02/29/2024	452,914,054	288,678,544	164,235,510	7,206,390,236	6,912,930,005	293,460,231	120,000,000	390,717,038	343,754,941	46,962,097	20,000,000	10,507,245	0	10,507,245	8,000,000	0
3	ADVANTAGE FUTURES LLC	FCM	CME	02/29/2024	38,746,948	19,999,447	18,747,501	551,208,922	534,379,158	16,829,764	8,500,000	67,825,165	63,819,525	4,005,640	1,000,000	0	0	0	0	0
4	AMP GLOBAL CLEARING INC	FCM	NFA	02/29/2024	13,266,577	1,000,000	12,266,577	80,904,235	67,312,370	13,591,865	900,000	1,980,431	1,586,755	393,676	150,000	0	0	0	0	0
5	APEX CLEARING CORPORATION	FCM BD	NFA	02/29/2024	472,794,138	35,443,591	437,350,547	229,453,725	205,386,064	24,067,661	10,000,000	0	0	0	0	0	0	0	0	0
6	BARCLAYS CAPITAL INC	FCM BD	NYME	02/29/2024	5,678,713,987	2,631,527,686	3,047,186,301	17,284,201,612	16,462,740,966	821,460,646	187,000,000	4,904,656,419	4,679,503,663	225,152,756	81,000,000	14,758,459,238	14,309,981,701	448,477,537	45,000,000	0
7	BITNOMIAL CLEARING LLC	FCM	NFA	02/29/2024	1,539,607	1,000,000	539,607	538,032	31,291	506,741	100,000	0	0	0	0	0	0	0	0	0
8	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	02/29/2024	2,374,864,624	902,259,530	1,472,605,094	7,320,226,239	6,628,478,696	691,747,543	570,000,000	886,626,404	662,413,807	224,212,597	98,000,000	2,834,761,457	2,582,828,297	251,933,160	140,000,000	0
9	BOFA SECURITIES INC	FCM BD	CBOT	02/29/2024	19,057,301,999	4,384,552,404	14,672,749,595	28,117,232,952	26,936,955,129	1,180,277,823	200,000,000	5,306,628,972	5,041,349,912	265,279,060	150,000,000	17,075,076,378	16,796,935,771	278,140,607	150,000,000	0
10	CANTOR FITZGERALD & CO	FCM BD	CBOT	02/29/2024	326,932,011	1,500,000	325,432,011	5,226,891	0	5,226,891	3,000,000	0	0	0	0	0	0	0	0	0
11	CHARLES SCHWAB FUTURES AND FOREX LLC	FCM	NFA	02/29/2024	324,243,166	47,882,482	276,360,684	779,683,567	617,885,387	161,798,180	100,000,000	0	0	0	0	0	0	0	0	62,706,779
12	CHS HEDGING LLC	FCM	CBOT	02/29/2024	77,244,957	8,711,166	68,533,791	137,770,761	74,099,168	63,671,593	24,300,000	1,579,468	0	1,579,468	200,000	527,469	0	527,469	120,000	0
13	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	02/29/2024	17,917,508,780	4,484,365,959	13,433,142,821	17,413,060,541	16,903,437,880	509,622,661	349,300,000	4,066,999,891	3,707,502,143	359,497,748	156,100,000	31,174,753,572	30,514,113,921	660,639,651	449,400,000	0
14	CLEAR STREET LLC	FCM BD	NFA	02/29/2024	586,931,961	38,653,021	548,278,940	0	0	0	0	0	0	0	0	0	0	0	0	0
15	COINBASE FINANCIAL MARKETS INC	FCM	NFA	02/29/2024	18,569,926	1,000,000	17,569,926	17,128,554	9,823,882	7,304,672	5,000,000	0	0	0	0	0	0	0	0	0
16	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	02/29/2024	4,629,909,374	47,078,732	4,582,830,642	3,517,780	0	3,517,780	1	3,316,842	0	3,316,842	1	1,571,098	0	1,571,098	1	0
17	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	02/29/2024	113,877,698	9,183,327	104,694,371	366,977,088	281,410,954	85,566,134	4,900,000	1,149,304	415,106	734,198	100,000	0	0	0	0	0
18	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	02/29/2024	1,329,435,565	2,174,156	1,327,261,409	2,123,049	0	2,123,049	0	444,053	0	444,053	0	0	0	0	0	0
19	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	02/29/2024	4,185,338,792	222,559,319	3,962,779,473	2,175,188,986	2,022,683,955	152,505,031	100,000,000	863,392,393	758,447,370	104,945,023	50,000,000	0	0	0	0	0
20	DIRECT ACCESS USA LLC	FCM	CME	02/29/2024	18,320,120	7,120,516	11,199,804	217,742,790	202,457,571	9,285,219	2,500,000	2,215,408	459,853	1,755,555	1,000,000	0	0	0	0	0
21	DORMAN TRADING LLC	FCM	CME	02/29/2024	18,458,664	3,303,262	15,155,402	297,600,443	290,477,145	7,123,298	2,000,000	2,908,286	1,877,627	1,030,659	200,000	0	0	0	0	0
22	ETRADE FUTURES LLC	FCM	NFA	02/29/2024	59,922,118	5,025,897	54,896,221	149,333,985	91,727,677	57,606,308	11,000,000	0	0	0	0	0	0	0	0	0
23	FUTU FUTURES INC	FCM	NFA	02/29/2024	1,973,136	1,000,000	973,136	0	0	0	0	0	0	0	0	0	0	0	0	0
24	GAIN CAPITAL GROUP LLC	FCM RFED	NFA	02/29/2024	49,842,831	29,968,409	19,874,422	0	0	0	0	0	0	0	0	0	0	0	0	209,368,174
25	GH FINANCIALS LLC	FCM	CME	02/29/2024	34,196,394	12,274,261	21,922,133	174,778,118	159,191,945	15,586,173	6,100,000	6,527,516	4,337,878	2,189,638	500,000	0	0	0	0	0
26	GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	02/29/2024	21,064,564,722	5,379,620,820	15,684,943,902	37,761,943,272	36,756,155,921	1,005,787,351	775,000,000	11,050,052,841	10,455,495,745	594,557,096	475,000,000	22,158,294,935	21,351,975,543	806,319,392	350,000,000	0
27	HIDEN ROAD PARTNERS CIV US LLC	FCM	CME	02/29/2024	31,713,796	1,000,000	30,713,796	8,185,563	0	8,185,563	3,000,000	0	0	0	0	0	0	0	0	0
28	HSBC SECURITIES USA INC	FCM BD	CME	02/29/2024	1,213,741,543	454,913,940	758,827,603	4,367,024,448	4,218,373,668	148,650,780	121,000,000	187,623,258	154,025,845	33,597,413	15,000,000	1,878,861,622	1,765,587,976	113,273,646	92,000,000	0
29	IG US LLC	RFED	NFA	02/29/2024	51,406,134	22,394,084	29,012,050	0	0	0	0	0	0	0	0	0	0	0	0	57,881,672
30	INTERACTIVE BROKERS LLC	FCM BD	CME	02/29/2024	7,880,484,043	900,604,432	6,979,879,611	7,228,872,995	6,984,805,106	244,067,889	155,000,000	808,006,365	678,864,385	129,141,980	80,000,000	0	0	0	0	30,909,590
31	IRONBEAM INC	FCM	CME	02/29/2024	10,138,137	1,000,000	9,138,137	103,445,557	91,394,477	12,051,080	1,600,000	873,190	633,550	239,640	60,000	0	0	0	0	0
32	JEFFERIES LLC	FCM BD	NFA	02/29/2024	633,069,334	110,839,456	522,229,878	0	0	0	0	0	0	0	0	0	0	0	0	0
33	JP MORGAN SECURITIES LLC	FCM BD SD	CE/NFA	02/29/2024	25,254,059,134	5,443,580,425	19,810,478,709	42,473,192,961	41,407,837,565	1,065,355,396	786,748,914	6,838,471,923	6,498,343,786	340,128,137	175,455,282	23,016,789,510	22,003,270,982	1,013,518,528	682,101,400	0
34	LTME TRADING CORP	FCM	NFA	02/29/2024	11,198,179	1,000,000	10,198,179	46,093	0	46,093	20,000	40,010	0	40,010	20,000	0	0	0	0	0
35	MACQUARIE FUTURES USA LLC	FCM	CBOT	02/29/2024	568,251,347	386,209,306	182,042,041	4,343,478,448	4,163,933,130	179,545,318	100,000,000	367,109,144	322,261,657	44,847,487	7,000,000	5,178,902	0	5,178,902	1,000,000	0
36	MAREX CAPITAL MARKETS INC	FCM BD SD	CME/NFA	02/29/2024	558,356,439	299,929,181	258,427,258	6,962,911,275	6,799,108,065	163,803,210	90,000,000	194,259,075	162,853,860	31,405,215	12,000,000	0	0	0	0	0
37	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	02/29/2024	13,883,772	1,606,463	12,277,309	430,181,101	406,922,364	23,258,737	18,000,000	2,756,463	0	2,756,463	1,000,000	0	0	0	0	0
38	MFI FUNDING LLC	FCM	NFA	02/29/2024	110,230,284	1,000,000	109,230,284	0	0	0	0	0	0	0	0	0	0	0	0	0
39	MID CO COMMODITIES INC	FCM	NFA	02/29/2024	16,258,902	3,022,494	13,236,408	36,474,365	19,945,177	16,529,188	5,138,000	0	0	0	0	0	0	0	0	0
40	MINT BROKERS	FCM BD	NFA	02/29/2024	2,245,658	1,000,000	1,245,658	0	0	0	0	0	0	0	0	0	0	0	0	0
41	MIZUHO SECURITIES USA LLC	FCM BD	CME	02/29/2024	1,610,122,863	517,625,883	1,092,496,980	9,641,014,459	9,241,307,144	399,707,315	150,000,000	1,709,582,118	1,530,674,278	178,907,840	70,000,000	21,655,115	0	21,655,115	10,000,000	0
42	MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	02/29/2024	18,064,356,060	4,416,992,299	13,647,363,761	28,417,142,079	27,861,581,578	555,560,501	235,000,000	9,618,205,831	9,410,920,068	207,285,763	140,000,000	28,404,480,363	28,049,273,598	355,206,765	92,000,000	0
43	NANHUA USA LLC	FCM	CME	02/29/2024	36,446,641	21,347,840	15,098,801	371,973,309	341,735,497	30,237,812	3,500,000	357,395	1,092	356,303	100,000	0	0	0	0	0
44	NATWEST MARKETS SECURITIES INC	FCM BD	CBOT	02/29/2024	591,801,585	1,076,234	590,725,351	7,503,655	0	7,503,655	5,000,000	0	0	0	0	0	0	0	0	0
45	NINJATRADER CLEARING LLC	FCM	NFA	02/29/2024	14,862,412	1,000,000	13,862,412	257,975,758	237,350,244	20,625,514	4,000,000	2,963,504	1,515,937	1,447,567	400,000	0	0	0	0	0
46	NOUMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	02/29/2024	1,976,602,508	180,234,508	1,796,368,000	137,579,563	94,528,212	43,051,351	15,000,000	1,250,000	0	1,250,000	1,000,000	0	0	0	0	0
47	OANDA CORPORATION	FCM RFED	NFA	02/29/2024	43,143,829	28,736,680	14,407,149	0	0	0	0	0	0	0	0	0	0	0	0	179,833,242
48	PHILLIP CAPITAL INC	FCM BD	CME	02/29/2024	105,801,340	46,065,807	59,735,533	916,804,653	865,906,568	50,898,085	15,500,000	21,129,958	17,601,674	3,528,284	1,000,000	0	0	0	0	0
49	PICCTET OVERSEAS INC	FCM BD	NFA	02/29/2024	50,278,179	7,485,220	42,792,959	111,627,429	105,651,865	5,975,564	1,500,000	24,410,843	3,741,809	900,000	0	0	0	0	0	0
50	RBC CAPITAL MARKETS LLC	FCM BD	CME	02/29/2024	2,025,988,934	490,617,186	1,535,371,748	4,110,2												

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