

SELECTED FCM FINANCIAL DATA AS OF
October 31, 2024
FROM REPORTS FILED BY
December 11, 2024

	Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)
1	ABN AMRO CLEARING USA LLC	FCM BD	CBOT	10/31/2024	816,658,501	257,024,477	559,634,024	4,611,579,383	3,981,138,024	630,441,359	350,000,000	209,054,840	174,574,708	34,480,132	15,000,000	0	0	0	0	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	10/31/2024	443,336,220	310,854,221	132,481,999	7,448,213,325	7,185,487,687	262,725,638	120,000,000	361,535,676	314,366,625	47,169,051	20,000,000	10,831,505	0	10,831,505	8,000,000	0
3	ADVANTAGE FUTURES LLC	FCM	CME	10/31/2024	37,274,149	12,471,157	24,802,992	473,081,404	456,845,124	16,236,280	8,000,000	106,650,942	102,077,861	4,573,081	1,000,000	0	0	0	0	0
4	AMP GLOBAL CLEARING LLC	FCM	NFA	10/31/2024	16,032,506	1,000,000	15,032,506	83,928,409	67,467,261	16,461,148	900,000	0	0	0	0	0	0	0	0	0
5	APEX CLEARING CORPORATION	FCM BD	NFA	10/31/2024	436,516,537	31,303,279	405,213,258	203,474,327	173,531,450	29,942,877	10,000,000	0	0	0	0	0	0	0	0	0
6	BARCLAYS CAPITAL INC	FCM BD	NYME	10/31/2024	6,496,648,484	2,775,917,365	3,720,731,119	16,316,104,827	15,855,026,407	461,078,420	330,000,000	5,843,871,274	5,613,056,232	230,815,042	91,000,000	16,522,467,578	16,081,070,537	441,397,041	59,000,000	0
7	BITNOMIAL CLEARING LLC	FCM	NFA	10/31/2024	1,585,312	1,000,000	585,312	972,454	444,120	528,334	100,000	0	0	0	0	0	0	0	0	0
8	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	10/31/2024	2,280,224,185	1,068,106,167	1,212,118,018	7,538,587,016	6,538,465,126	1,000,121,890	570,000,000	1,704,488,536	1,014,586,347	689,902,189	210,000,000	2,869,013,949	2,603,965,536	265,048,413	135,000,000	0
9	BOFA SECURITIES INC	FCM BD	CBOT	10/31/2024	20,179,434,608	4,571,645,384	15,607,789,224	28,178,545,218	26,611,820,817	1,566,724,401	525,000,000	5,277,906,204	5,016,451,723	261,454,481	150,000,000	18,313,962,653	17,832,670,675	481,291,978	150,000,000	0
10	CANTOR FITZGERALD & CO	FCM BD	CBOT	10/31/2024	317,351,850	1,764,800	315,587,050	5,408,463	0	5,408,463	3,000,000	0	0	0	0	0	0	0	0	0
11	CHARLES SCHWAB FUTURES AND FOREX LLC	FCM	NFA	10/31/2024	307,673,693	56,861,805	250,811,888	808,779,909	662,154,836	146,625,073	100,000,000	0	0	0	0	0	0	0	0	64,733,918
12	CHS HEDGING LLC	FCM	CBOT	10/31/2024	84,369,694	12,275,791	72,093,903	143,843,274	81,997,173	61,846,101	24,300,000	1,613,446	0	1,613,446	200,000	538,816	0	538,816	120,000	0
13	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	10/31/2024	16,426,878,073	4,810,590,422	11,616,287,651	16,203,874,109	15,581,812,173	622,061,936	420,000,000	4,473,913,926	4,176,146,405	297,767,521	158,200,000	31,673,186,356	30,997,834,864	675,351,492	455,700,000	0
14	CLEAR STREET LLC	FCM BD	CME	10/31/2024	564,931,566	43,501,679	521,429,887	447,246,744	436,292,186	10,954,558	3,500,000	0	0	0	0	0	0	0	0	0
15	COINBASE FINANCIAL MARKETS INC	FCM	NFA	10/31/2024	20,799,069	1,091,504	19,707,565	41,891,338	30,452,739	11,438,599	5,000,000	0	0	0	0	0	0	0	0	0
16	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	NFA	10/31/2024	2,276,024,432	1,500,000	2,274,524,432	0	0	0	0	0	0	0	0	0	0	0	0	0
17	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	10/31/2024	1,308,286,953	1,500,000	1,306,786,953	3,532,436	0	3,532,436	0	1,542,434	0	1,542,434	1	0	0	0	0	0
18	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	10/31/2024	4,080,770,144	312,955,094	3,767,815,050	3,235,740,709	3,079,410,070	156,330,639	100,000,000	1,116,158,752	1,014,537,243	101,621,509	50,000,000	0	0	0	0	0
19	DIRECT ACCESS USA LLC	FCM	CME	10/31/2024	19,364,677	4,030,119	15,334,558	94,117,943	84,836,466	9,281,477	2,500,000	2,569,771	1,040,945	1,528,826	1,000,000	0	0	0	0	0
20	DORMAN TRADING LLC	FCM	CME	10/31/2024	28,625,428	4,261,413	24,364,015	360,526,942	347,472,577	13,054,365	2,000,000	2,912,977	1,654,197	1,258,780	200,000	0	0	0	0	0
21	ETRADE FUTURES LLC	FCM	NFA	10/31/2024	63,342,389	5,503,839	57,438,550	118,266,192	62,550,360	55,715,832	11,000,000	0	0	0	0	0	0	0	0	0
22	F&O FINANCIAL LLC	FCM	NFA	10/31/2024	2,385,714	1,000,000	1,385,714	0	0	0	0	0	0	0	0	0	0	0	0	0
23	FUTU FUTURES INC	FCM	NFA	10/31/2024	2,000,066	1,000,000	1,000,066	0	0	0	0	0	0	0	0	0	0	0	0	0
24	GAIN CAPITAL GROUP LLC	FCM RFED	NFA	10/31/2024	57,637,940	29,734,949	27,902,991	0	0	0	0	0	0	0	0	0	0	0	0	204,698,975
25	GH FINANCIALS LLC	FCM	CME	10/31/2024	31,235,557	17,890,613	13,344,944	233,213,974	217,658,908	15,555,066	6,100,000	12,426,924	9,825,965	2,600,959	350,000	0	0	0	0	0
26	GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	10/31/2024	19,731,029,551	5,476,817,971	14,254,211,580	34,566,244,349	33,551,275,218	1,014,969,131	775,000,000	9,719,555,998	9,122,509,635	597,046,363	475,000,000	23,790,243,231	22,976,161,500	814,081,731	350,000,000	0
27	HIDDEN ROAD PARTNERS CIV US LLC	FCM	CME	10/31/2024	31,461,245	4,131,707	27,329,538	185,176,511	20,736,259	8,900,000	0	0	0	0	0	0	0	0	0	0
28	HSBC SECURITIES USA INC	FCM BD	CME	10/31/2024	1,181,214,688	459,027,735	722,186,953	4,021,756,316	3,877,562,280	144,194,036	101,000,000	254,062,458	203,450,398	50,612,060	15,000,000	1,725,450,521	1,610,397,853	115,052,668	85,000,000	0
29	INTERACTIVE BROKERS LLC	FCM	CME	10/31/2024	8,979,390,586	1,190,487,538	7,788,903,048	8,441,958,302	8,240,920,772	201,037,530	155,000,000	793,143,956	668,698,670	124,445,286	80,000,000	71,490,057	51,692,105	19,797,952	2,000,000	27,427,451
30	IRONBEAM INC	FCM	CME	10/31/2024	16,791,166	3,823,684	12,967,482	137,868,951	121,828,484	16,040,467	1,600,000	784,501	345,723	438,778	60,000	0	0	0	0	0
31	JEFFERIES LLC	FCM BD	NFA	10/31/2024	1,803,714,056	131,349,011	1,672,365,045	0	0	0	0	0	0	0	0	0	0	0	0	0
32	JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	10/31/2024	23,639,453,872	5,962,410,241	17,677,043,631	45,014,862,061	43,404,093,577	1,610,768,484	824,677,778	7,827,501,638	7,443,945,681	383,555,957	200,986,533	24,035,981,211	22,422,378,700	1,613,602,511	695,093,740	0
33	LINE TRADING CORP	FCM BD	NFA	10/31/2024	11,623,925	1,000,000	10,623,925	46,094	0	46,094	0	0	0	0	0	0	0	0	0	0
34	MACQUARIE FUTURES USA LLC	FCM	CBOT	10/31/2024	488,944,958	334,434,040	154,510,918	3,559,033,884	3,431,116,003	127,917,881	85,000,000	296,972,433	257,378,493	7,000,000	0	659,650	0	659,650	250,000	0
35	MAREX CAPITAL MARKETS INC	FCM BD SD	CME/NFA	10/31/2024	604,866,155	383,504,873	221,361,282	8,012,001,331	7,872,376,689	139,624,642	75,000,000	223,105,647	196,863,272	26,242,375	8,000,000	6,184,171	1,160,928	5,023,243	2,000,000	0
36	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	10/31/2024	14,271,034	1,813,209	12,457,825	385,714,457	361,880,607	23,833,850	18,000,000	2,826,243	0	2,826,243	1,000,000	0	0	0	0	0
37	MFI FUNDING LLC	FCM	NFA	10/31/2024	115,084,042	1,000,000	114,084,042	0	0	0	0	0	0	0	0	0	0	0	0	0
38	MID CO COMMODITIES INC	FCM	NFA	10/31/2024	16,237,176	5,004,477	11,232,699	51,708,696	34,526,424	17,182,272	5,138,000	0	0	0	0	0	0	0	0	0
39	MINT BROKERS	FCM BD	NFA	10/31/2024	2,301,820	1,000,000	1,301,820	0	0	0	0	0	0	0	0	0	0	0	0	0
40	MIZUHO SECURITIES USA LLC	FCM BD	CME	10/31/2024	1,528,520,439	513,542,264	1,014,978,175	7,918,783,100	7,527,914,635	390,868,465	150,000,000	1,587,615,290	1,413,389,502	174,225,788	70,000,000	22,266,522	0	22,266,522	10,000,000	0
41	MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	10/31/2024	16,545,533,658	4,747,463,539	11,798,070,119	28,840,558,530	27,786,938,204	1,053,620,326	235,000,000	8,535,514,736	8,333,530,370	201,984,366	140,000,000	28,937,675,553	28,616,407,205	321,268,348	92,000,000	0
42	NANHUA USA LLC	FCM	CME	10/31/2024	56,304,168	23,724,657	32,579,511	426,548,771	381,520,293	45,028,478	3,500,000	359,934	1,087	358,847	100,000	0	0	0	0	0
43	NATWEST MARKETS SECURITIES INC	FCM BD	CBOT	10/31/2024	581,171,318	1,012,044	580,159,274	7,500,621	0	7,500,621	5,000,000	0	0	0	0	0	0	0	0	0
44	NINJATRADER CLEARING LLC	FCM	NFA	10/31/2024	24,217,163	1,000,000	23,217,163	280,229,444	248,043,878	32,185,566	4,000,000	2,786,474	1,485,156	1,301,318	400,000	0	0	0	0	0
45	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	10/31/2024	2,010,407,236	197,121,650	1,813,285,586	102,359,968	59,774,138	42,585,830	15,000,000	1,250,000	0	1,250,000	1,000,000	0	0	0	0	0
46	OANDA CORPORATION	FCMRFD	NFA	10/31/2024	56,692,719	28,420,067	28,272,652	0	0	0	0	0	0	0	0	0	0	0	0	174,100,593
47	PHILLIP CAPITAL INC	FCM BD	CME	10/31/2024	117,953,972	46,823,250	71,130,722	785,778,341	739,135,398	46,642,943	17,000,000	30,033,776	23,440,854	6,592,922	1,000,000	0	0	0	0	0
48	PICTET OVERSEAS INC	FCM BD	NFA	10/31/2024	40,690,926	7,963,036	32,727,890	120,969,503	114,401,302	6,568,201	1,500,000	25,853,743	21,697,654	4,156,089	900,000	0	0	0	0	0
49	PLUS000US FINANCIAL SERVICES, LLC	FCM	CBOT	10/31/2024	121,880,547	10,068,314	111,812,233	472,472,618	364,740,873	107,731,745	490,000	1,490,016	476,397	1,013,619	100,000	0	0	0	0	0
50	RBC CAPITAL MARKETS LLC	FCM BD	CME	10/31/2024	1,739,926,133	571,195,														

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